In re Patent Application of:

Gentz et al.

Docket No.: PF454P1

Application No.: 09/518,931

Confirmation No.: 7173

Filed: March 3, 2000

Art Unit: 1646

Tumor Necrosis Factor Receptors 6 Alpha & 6

Examiner: E. B. O'Hara

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of a claim of the subject application, Attorney/Agent for Applicants hereby directs the Examiner's attention to references CC, CF-CG, CJ-CX, and CZ-DN listed on the attached Form PTO/SB/08. Copies of reference CF and non-U.S. Patent references CC, CJ-CX, and CZ-DN are enclosed.

Identification of the listed references is not to be construed as an admission of any individual associated with the filing or prosecution of the subject application that such references are available as "prior art" against the subject application. Furthermore, Applicants do not waive any rights to take appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the subject application.

The listed references are presented so that the Patent and Trademark Office can determine any materiality thereof to the claimed invention. See 37 C.F.R. § 1.104(a) concerning the Examiner's duty to consider and use any such information. Applicants respectfully request that the Examiner make the listed references of record in the file history of the application, and consider the information contained therein during the prosecution of this application.

Pursuant to 37 C.F.R. § 1.97(c), because this Information Disclosure Statement is being submitted after the mailing date of the first Office Action on the merits, but before the mailing

10/12/2005 JADD01 00000141 083425 09518931 date of a Final Office Action, or a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution, the Patent and Trademark Office will consider this Information Disclosure Statement if it is accompanied by the fee as specified in 37 C.F.R. § 1.17(p).

Account No. 08-3425 in the amount of \$180.00 as payment of the required fee, as itemized on the Fee Transmittal Sheet submitted concurrently herewith. The Patent & Trademark Office is also authorized to charge any additional required fee or credit any overpayment in connection with this submission to our Deposit Account No. 08-3425.

Dated: October 11, 2005

Respectfully submitted,

Michele Shannon

Registration No.: 47,075

HUMAN GENOME SCIENCES, INC.

Intellectual Property Dept. 14200 Shady Grove Road Rockville, Maryland 20850 (301) 354-3930

KKH/MS/ba



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Oub		J., 10		Application Number	09/518,931-Conf. #7173		
IN	FORMATION	ON DISC	CLOSURE	Filing Date	March 3, 2000		
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				Art Unit	1646		
	(Use as many	sheets as ned	cessary)	Examiner Name	E. B. O'Hara		
Sheet	1	of	3	Attorney Docket Number	PF454P1		

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							U.S. PATENT DOCU	JME	ENTS		
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		OTHER REFERENCES - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	CC	DUESTERHOEFT ET AL., Genbank Accession Number AL157435, 2-15-2000.	
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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Sheet 2 of 3

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Complete if Known					
Application Number	09/518,931-Conf. #7173				
Filing Date	March 3, 2000				
First Named Inventor	Reiner L. Gentz				
Art Unit	1646				
Examiner Name	E. B. O'Hara				
Attorney Docket Number	PF454P1				

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	CF	09/912,293	NOT PUBLISHED	ROSEN et al.	Pages 1-75 (pages 1 & 2 partially redacted); portion of Table 2; and SEQ ID NOS: 29783 and 63238				
	CG	6,297,367-B1	10-02-2001	TRIBOULEY					

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
	CJ	WO00/52028-A1	09/08/2000	HUMAN GENOME SCIENCES, INC.						
	СК	WO01/22920-A2	04/05/2001	HUMAN GENOME SCIENCES, INC.	pages 1-11, 28, 309-334, 358-408, 545-6, 1769 to 2227 and SEQ ID NOS: 227 and 4504					
	CL	WO01/28582-A3	04/26/2001	ELI LILLY AND COMPANY						
	СМ	WO01/42463-A1	06/14/2001	ELI LILLY AND COMPANY						
	CN	WO01/42434-A1	06/14/2001	MERCK & CO., INC.		L				
	CO	WO01/68912-A3	09/20/2001	EPIGENOMICS AG						
	СР	WO02/00677-A1	01/03/2002	HUMAN GENOME SCIENCES, INC.	pages 1-16, 950- 957, 1480-1483, 1604, 1716-1726, 2377, 2401- end of specification; and SEQ ID NOS: 937 and 3112					
	CQ	WO02/000928-A3	01/03/2002	EPIGENOMICS AG	Abstract & Int'l Search Report	<u> </u>				
	CR	WO02/09668-A2	02/07/2002	ELI LILLY AND COMPANY						
	cs	WO02/060317-A2	08/08/2002	CORIXA CORPORATION		ļ				
	СТ	WO02/060947-A3	08/08/2002	ELI LILLY AND COMPANY		<u> </u>				
	CU	WO02/060949-A3	08/08/2002	ELI LILLY AND COMPANY						
	CV	WO02/078524-A2	10/10/2002	ZYCOS, INC.						

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

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Complete if Known Substitute for form 1449A/B/PTO 09/518,931-Conf. #7173 Application Number INFORMATION DISCLOSURE March 3, 2000 Filing Date STATEMENT BY APPLICANT Reiner L. Gentz First Named Inventor 1646 Art Unit (Use as many sheets as necessary) E. B. O'Hara Examiner Name PF454P1 3 Attorney Docket Number 3 Sheet

	_	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1						
	CW	YU et al., GenBank Accession No. AF134240, May 11, 1999.					
	СХ	ASHKENAZI and DIXIT, "Apoptosis control by death and decoy receptors," Curr. Opin. Cell Biol. 11 (2), 255-260 (1999).					
	CZ	KIKUNO et al., PIR Accession No. T45294, January 31, 2000.					
	DA	BAI et al., GenBank Accession No. AF217793, February 12, 2000.					
	DB	BAI et al., GenBank Accession No. AF217794, February 12, 2000.					
	DC	BAI et al., GenBank Accession No. AF217796, February 21, 2000.					
	DD	YAN et al., "Two-Amino Acid Molecular Switch in an Epithelial Morphogen," Science 290, 523-527, (October 20, 2000).					
	DE	OHSHIMA et al., "Amplification and expression of a decoy receptor for Fas ligand," Cancer Letters 160, 89-97, (2000).					
	DF	LOCKSLEY et al., "The TNF and TNF Receptor Superfamilies: Integrating Mammalian Biology," Cell 104, 487-501, (February 23, 2001).					
	DG	WALLIS, GenBank Accession No. AL353715, April 18, 2001.					
	DH	MATTHEWS, GenBank Accession No. AL121845, July 6, 2001.					
	DI	PITTI et al., GenBank Accession No. NM_032945, July 6, 2001.					
	DJ	PITTI et al., GenBank Accession No. NM_032957, July 17, 2001.					
	DK	ADAMS et al., "Initial assessment of human gene diversity and expression patterns," Nature 377 (6547 Supp) 3-174, (September 28, 1995).					
	DL	ADAMS et al., Genbank Accession No. AA325843, April 20, 1997.					
	DM	HILLIER et al., GenBank Accession No. AA025672, February 1, 1997.					
	DN	HILLIER et al., GenBank Accession No. AA155646, November 8, 1997.					

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Effective on 12/08/2004.								
Fees pursuant to the Consol	idated Appropriatio	ons Act, 2005 (H.R. 4818).	Application	Number	09/518,931-Conf. #7173			
FEE TR	RANSM	ITTAL	Filing Date		March 3, 2000			
	r FY 200		First Name	d Inventor	Reiner L. Gentz			
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Applicant claims sn	nall entity status.	See 37 CFR 1.27	Art Unit		1646			
TOTAL AMOUNT OF P.	AYMENT	<b>(\$)</b> 180.00	Attorney Do	cket No.	PF454P1			
METHOD OF PAYME	NT (check all t	hat apply)						
Check Credi	t Card N	Ioney Order N	one O	ther (please ide	ntify):			
X Deposit Account	eposit Account Numb	ner: 08-3425 Deposit A	ccount Name:	Huma	an Genome Scie	ences, Inc.		
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FEE CALCULATION		<u></u>						
1. BASIC FILING, SEAR	CH, AND EXAM	INATION FEES						
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Plant	200	100 300		160	80			
Reissue	300	150 500		600	300			
Provisional	200	100	0	0	0			
2. EXCESS CLAIM FEE:	S					_	Small Entity	
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Name (Print/Type)

Michele Shannon